



Attorney Docket No.: 0254-080

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NO.: 09/761,210
APPLICANT: Carmen Burns
FILING DATE: 01/16/2001
TITLE: Method of Manufacturing a Surface Mount Package

L. Nelson
#2/IDS
4-4-02

RECEIVED
MAR 12 2002

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Pursuant to the guidelines for Information Disclosure Statements, 37 C.F.R. §§ 1.97-1.98, attached hereto is PTO Form 1449 (ten sheets) with references listed for consideration by the Office.

In accordance with 37 C.F.R. § 1.98(a), copies of the listed written references are being provided.

In accordance with 37 C.F.R. §§ 1.97(g)-(h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

CERTIFICATE OF MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Box DD, Assistant Commissioner for Patents, Washington, D.C. 20231, on the 4th day of March, 2002.

By:

Allanna O. Smith

This Information Disclosure Statement is being filed before receipt of the first Office action, so no fee is believed to be due. The Commissioner is hereby authorized to charge any fees which may be required in connection with the filing, or to credit any overpayment to Deposit Account No. 501031, under Order No. 0254-080, upon which account the undersigned has the authority to sign.

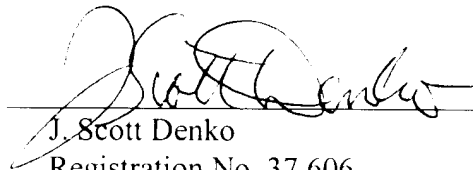
A duplicate copy of this sheet is enclosed.

Respectfully submitted,

GEORGE & DONALDSON, L.L.P.

Date: Mar. 4, 2002

By: _____



J. Scott Denko

Registration No. 37,606

GEORGE & DONALDSON, L.L.P.

1100 Norwood Tower

114 W. 7th Street

Austin, Texas 78701

Telephone: (512) 495-1436

Facsimile: (512) 499-0094

Form PTO-1449 (Modified)
(Rev. 11-92)

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U.S. Dept. of Commerce
Patent and Trademark Office**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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(37 CFR 1.98(b))

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GROUP

3729

U.S. PATENT DOCUMENTS

*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (if appropriate)
cfp	3,246,386	04/19/1966	Ende	29 155.5		01/26/1962
cfp	3,287,606	11/22/1966	Schwartz	317 101		12/16/1964
cfp	3,290,559	12/06/1966	Kirby	317 101		06/16/1964
cfp	3,313,986	04/11/1967	Kilby	317 101		12/23/1965
cfp	3,377,516	08/04/1966	Ellett	317 101		08/04/1966
cfp	3,403,300	09/24/1968	Horowitz, et al	317 101		09/01/1966
cfp	3,436,604	04/1969	Hyltin, et al	317 101		04/25/1966
cfp	3,515,949	06/02/1970	Michaels	317 101		11/22/1967

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Document Number	Date	Country	Class	Subclass	Translation	
					Yes	No
2-260448	10/1990	Japan			Yes	
3-167868A	07/19/1991	Japan			Yes	
3-96266	04/1991	Japan			Yes	
4-209562	07/30/1992	Japan			Yes	
57-31166A	02/19/1982	Japan			Yes	
58-112348A	04/07/1983	Japan			Yes	
58-219757A	12/21/1983	Japan			Yes	
58-96756A	08/06/1983	Japan			Yes	
60-160641	08/1985	Japan			Yes	
60-254762A	12/16/1985	Japan			Yes	
61-163652A	07/24/1986	Japan			Yes	
61-219143A	09/29/1986	Japan			Yes	
61-63048	04/1986	Japan			Yes	
61-75558A	04/17/1986	Japan			Yes	
62-230027A	08/10/1987	Japan			Yes	
63-117451A	05/21/1988	Japan			Yes	
63-153849A	06/27/1988	Japan			Yes	

OTHER DOCUMENTS

(INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	Dense-Pac Microsystems, Inc., Catalog describing two products: DPS512X16A3 Ceramic 512KX16 CMOS SRAM Module, pp. 865-870
	Dense-Pac Microsystems, Inc., "Memory Products-ShortForm-Q4," 1994, 5 pages.
	Dense-Pac Microsystems, Inc., "Short Order Catalog," 1990, 12 pages.
	Dense-Pac Microsystems, Inc., "Short Form Catalog," 1991, 20 pages.
	Dense-Pac Microsystems, Inc., "3-D Technology," 1993, 15 pages.
	Dense-Pac Microsystems, Inc., 16-Megabit High Speed CMOS SRAM.
	Dense-Pac Microsystems, Inc., 128-Megabyte SDRAM Sdimm.
	Dense-Pac Microsystems, Inc., 256-Megabyte CMOS DRAM.
	Dense-Pac Microsystems, Inc. flyer "While others are still defining it... Our customers are cashing in!"

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Cjs	3,535,595	10 20 1970	Moore	317 101		11 09 1967
Cjs	3,614,541	10 19 1971	Farrand	317 101		04 08 1969
qa	3,614,546	10 1971	Avins	174 234		01 07 1970
Cjs	3,671,812	06 20 1972	Peluso, et al	317 118		07 01 1970
qa	3,713,893	01 1973	Shirland	136 89		02 17 1967
qa	3,727,064	04 1973	Bottini	250 217		03 17 1971
qa	3,739,462	06 19 1973	Hasty	29 577		01 06 1971
qa	3,746,934	07 17 1973	Stein	317 101		05 06 1971
qa	3,925,801	12 09 1975	Haitz, et al	357 19		12 09 1975
qa	3,949,274	04 06 1976	Anacker	317 101		05 30 1974
Cjs	3,959,579	05 25 1976	Johnson	174 68.5		08 19 1974
qa	4,017,963	04 19 1977	Beyerlein	29 577		05 19 1975
Cjs	4,103,318	07 25 1978	Schwede	361 388		07 25 1978
qa	4,116,518	09 26 1978	Pleskac	339 17		08 31 1977
qa	4,116,519	09 26 1978	Grabbe, et al	339 17		08 02 1977
qa	4,158,745	06 19 1979	Keller	174 52		10 27 1977
Cjs	4,241,493	12 30 1980	Andrulis, et al	29 572		12 22 1978

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Document Number	Date	Country	Class	Subclass	Translation	
					Yes	No
834-957	05 30 1981	SU			Yes	

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	Tuckerman, D.B. et al., "Laminated Memory: A New 3-Dimensional Packaging Technology for MCMs" article, nCHIP, Inc., IEEE, 1994.
	1992 Proceedings, 42 nd Electronic Components & Technology Conference, May 18-20, 1992.
	Research Disclosure, Organic Card Device Carrier, 31318 May 1990, No. 313.
	"Introducing a Revolutionary 3 Dimensional Package Type The SLCC," John Forthun, Advancement in Technology, Feb. 26, 1992, 12 pages.
	"New levels of hybrid IC density are provided by Three-Dimensional Packaging" article, 2 pages.
	3-D Integrated Packaging and Interconnect Technology, Wescon 90 Conference Record, held 13-15 Nov. 1990, Anaheim, CA.

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*Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (if appropriate)
Cfa	4,288,808	09/08/1981	Hantusch	357.68		01/23/1979
ga	4,288,841	09/08/1981	Gogal	361.414		09/20/1979
ga	4,321,418	03/23/1982	Dran, et al	136.251		05/08/1980
ga	4,331,258	05/1982	Geschwind	220.359		03/05/1981
Cfa	4,364,620	12/21/1982	Mulholland, et al	339.17		09/05/1980
Cfa	4,371,912	02/01/1983	Guzik	361.417		10/01/1980
ga	4,379,259	04/05/1983	Varadi, et al	324.73		03/12/1980
ga	4,394,712	07/19/1983	Anthony	361.411		03/18/1981
ga	4,398,235	08/09/1983	Lutz, et al	361.393		09/11/1980
ga	4,437,235	03/20/1984	McIver	29.840		08/23/1982
Cfa	4,451,973	06/05/1984	Tateno, et al	29.588		04/13/1982
ga	4,521,828	06/04/1985	Fanning	361.386		12/23/1982
Cfa	4,525,921	07/02/1985	Carson, et al	29.577		07/25/1983
ga	4,571,663	02/18/1986	McPherson	361.393		06/13/1983
Cfa	4,574,331	03/04/1986	Smolley	361.393		05/31/1983
ga	4,630,172	12/16/1986	Stenerson, et al	361.386		03/09/1983
ga	4,631,573	12/23/1986	Sutrina	357.82		05/24/1985
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	Christian VAL and Thierry Lenoir, 3d Interconnection for Ultra-Dense Multichip Modules, IEEE, pp. 540-547.
	Dean Frew, "High Density Memory Packaging Technology High Speed Imaging Applications," SPIE, vol. 1346 <i>Ultra-high and High-Speed Photography, Videography, Photonics, and Velocimetry</i> '90, pp. 200-209.
	Alvin Weinburg and W. Kinzy Jones, "Vertically-Integrated Package," IEEE, pp. 436-443.

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gpa	4,638,348	01 20 1987	Brown, et al	357 74		08 08 1983
gpa	4,642,735	02 10 1987	Hodsdon, et al	361 424		02 27 1984
gpa	4,680,617	07 14 1987	Ross	357 72		05 23 1984
gpa	4,684,975	08 04 1987	Takiar, et al	357 70		12 16 1985
gpa	4,688,864	08 25 1987	Sorel	439 74		03 28 1986
gpa	4,696,525	09 29 1987	Coller, et al	439 69		12 13 1985
gpa	4,698,663	10 06 1987	Sugimoto, et al	357 81		12 03 1986
gpa	4,706,166	11 10 1987	Go	361 403		04 25 1986
gpa	4,712,129	12 08 1987	Orcutt	357 84		09 30 1985
gpa	4,722,060	01 26 1988	Quinn, et al	364 490		03 22 1984
gpa	4,733,461	03 29 1988	Nakano	29 830		12 24 1985
gpa	4,761,681	08 02 1988	Reid	357 68		09 08 1982
gpa	4,763,188	08 09 1988	Johnson	357 74		11 04 1987
gpa	4,770,640	09 13 1988	Walter	439 69		06 24 1983
gpa	4,796,078	01 03 1989	Phelps, Jr., et al	357 68		06 15 1987
gpa	4,821,007	04 11 1989	Fields, et al	333 238		02 06 1987
gpa	4,821,148	04 11 1989	Kobayashi, et al	361 392		06 04 1986
gpa	4,823,234	04 18 1989	Konishi, et al	361 386		06 01 1986
gpa	4,829,403	05 09 1989	Harding	361 386		01 20 1987

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	IBM Technical Disclosure Bulletin, vol. 20 No. 11A Apr. 1978.
	IBM Technical Disclosure Bulletin, vol. 23 No. 12 May 1981
	IBM Technical Disclosure Bulletin, vol. 32 No. 3B Aug. 1989.

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ga	4,833,568	05-23-1989	Berhold	361-383		01-29-1988
ga	4,839,717	06-13-1989	Phy, et al	357-74		06-07-1988
gc	4,841,355	06-20-1989	Parks	357-82		02-10-1988
ga	4,855,868	08-08-1989	Harding	361-386		07-20-1987
ga	4,862,245	08-29-1989	Pashby, et al	357-70		02-19-1988
ga	4,862,249	08-29-1989	Carlson	357-80		04-17-1987
ga	4,868,712	09-19-1989	Woodman	361-388		10-27-1987
ga	4,878,106	10-31-1989	Sachs	357-72		05-06-1988
ga	4,884,237	11-28-1989	Mueller, et al	365-63		03-17-1989
gc	4,891,789	01-02-1990	Quattrini, et al	365-63		03-03-1988
ga	4,924,352	05-08-1990	Septions	361-388		12-21-1988
ga	4,948,645	08-14-1990	Holzinger, et al	428-40		08-01-1989
ga	4,953,005	08-28-1990	Carlson, et al	357-80		04-15-1988
ga	4,953,060	08-28-1990	Lauffer, et al	361-388		05-05-1989
ga	4,956,694	09-11-1990	Eide	357-74		11-04-1988
gc	4,994,411	02-19-1991	Naito, et al	437-209		03-10-1989
ga	4,996,583	02-26-1991	Hatada	357-70		02-09-1990
ga	4,996,587	02-26-1991	Hinrichsmeyer, et al	357-74		03-23-1990
ga	4,997,517	03-15-1991	Parthasarathi	156-630		01-09-1990

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ga	5,014,113	05-07-1991	Casto	357-70		12-27-1989
ga	5,016,138	05-14-1991	Woodman	361-381		09-18-1989
ga	5,025,307	06-18-1991	Ueda, et al	357-80		03-29-1990
ga	5,031,072	07-09-1991	Malhi, et al	361-387		01-31-1990
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ga	5,041,395	08-20-1991	Steffen	437-206		04-05-1990
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ga	5,057,903	10-15-1991	Olla	357-72		11-08-1990
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ga	5,058,265	10-22-1991	Goldfarb	29-852		09-10-1990
ga	5,065,277	11-12-1991	Davidson	361-383		07-13-1990
ga	5,068,708	11-26-1991	Newman	357-68		10-02-1989
ga	5,086,018	02-04-1992	Conru, et al	437-207		05-02-1991
ga	5,099,393	03-24-1992	Bentlage, et al	361-413		03-25-1991
ga	5,107,328	04-21-1992	Kinsman	357-74		02-13-1991
ga	5,108,553	04-28-1992	Foster, et al	205-125		09-25-1989
ga	5,128,831	07-07-1992	Fox, III, et al	361-396		10-31-1991
ga	5,138,434	08-11-1992	Wood, et al	357-74		01-22-1991
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Cja	5,151,559	09/29/1992	Conru, et al	174 52.4		12/11/1991
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ga	5,168,926	12/08/1992	Watson, et al	165 185		09/25/1991
Cja	5,198,888	03/30/1993	Sugano, et al	257 686		12/20/1990
ga	5,214,307	05/25/1993	Davis	257 676		07/08/1991
ga	5,214,845	07/01/1993	King, et al	29 841		05/11/1992
ga	5,221,642	06/22/1993	Burns	437 207		08/15/1991
ga	5,222,014	06/22/1993	Lin	361 414		03/02/1992
ga	5,223,739	06/29/1993	Katsumata, et al	257 676		09/13/1990
Cja	5,231,304	07/27/1993	Solomon	257 684		07/10/1992
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Cja	5,239,447	08/24/1993	Cotues, et al	361 744		09/13/1991
ga	5,241,454	08/31/1993	Ameen, et al	361 744		01/22/1992
ga	5,243,133	09/07/1993	Engle, et al	174 52.4		02/18/1992
ga	5,266,834	11/30/1993	Nishi, et al	257 706		07/21/1992
ga	5,273,940	12/28/1993	Sanders	437 209		06/15/1992
Cja	5,279,029	01/18/1994	Burns	29 856		05/11/1993
Cja	5,281,852	01/25/1994	Normington	257 685		12/10/1991

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ga	5,311,401	05 10 1994	Gates, Jr., et al	361 760		07 09 1991
ga	5,313,096	05 17 1994	Eide	257 686		07 29 1992
ga	5,343,075	08 30 1994	Nishino	257 686		06 22 1992
ga	5,343,366	08 30 1994	Cipolla, et al	361 785		06 24 1992
ga	5,367,766	11 29 1994	Burns, et al	29 848		04 05 1993
ga	5,369,056	11 29 1994	Burns, et al	437 209		03 29 1993
ga	5,369,058	11 29 1994	Burns, et al	437 209		03 04 1994
ga	5,371,866	12 06 1994	Cady	395 400		06 01 1992
ga	5,373,189	12 13 1994	Massit, et al	257 686		07 30 1993
ga	5,377,077	12 27 1994	Burns	361 704		12 17 1993
ga	5,384,689	01 24 1995	Shen	361 761		12 20 1993
ga	5,397,916	03 14 1995	Normington	257 686		07 26 1993
ga	5,420,751	05 30 1995	Burns	361 707		10 08 1993
ga	5,426,566	06 20 1995	Beilstein, Jr., et al	361 735		01 04 1993
ga	5,446,313	08 29 1995	Masuda, et al	257 666		05 24 1993
ga	5,446,620	08 29 1995	Burns	361 704		10 08 1993
ga	5,475,920	12 19 1995	Burns, et al	29 856		03 04 1994
ga	5,479,318	12 26 1995	Burns	361 735		05 12 1995
ga	5,481,133	01 02 1996	Hsu	257 621		12 29 1994
ga	5,484,959	01 16 1996	Burns	174 524		12 11 1992

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(37 CFR 1.98(b))

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Carmen D. Burns

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Document Number	Date	Country	Class	Subclass	Translation	
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